

PATENT Attorney Docket No. 265036600070

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In re Application of

Yanagimachi et al.

Serial No.

09/371,648

Filing Date

For

August 10, 1999

MAMMALIAN TRANSGENESIS BY

INTRACYTOPLASMIC SPERM INJECTION

Group Art Unit

1632

Examiner

Peter Paras, Jr.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

This application claims the benefit of U.S. Provisional Patent Applications, Serial No. 60/096,078, filed August 11, 1998, and Serial No. 60/133,970, filed May 13, 1999.

Pursuant to the guidelines for Information Disclosure Statements set forth in 37 CFR §§ 1.97-1.98 and MPEP § 609, applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 CFR § 1.56.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Barbara E. Arndt, Ph.D

<u> 11/22/00</u>

Date

Signature

A list of patent(s) and/or publications not already submitted in previous disclosures is set forth on the attached PTO-1449. A copy of each item listed is supplied herewith.

WO 00/08924 is the publication of a PCT application filed by one of the two present inventors, Anthony C. F. Perry, which claims the benefit of the same two provisional applications (60/096,078 and 60/134,251) as the present application. Ryuzo Yanagimachi, another inventor of the present application, filed the present application by means of a Petition under 37 CFR § 1.47 (filed January 28, 2000) on behalf of himself and joint inventor Perry, who refused to join in this U.S. application. The reasons for the filing of the Petition are set forth in the Declaration of Calvin P. Griffith, filed with the Petition. The present applicant does not know whether Perry and Teruhiko Wakayama have filed WO 00/08924 in the United States. If they have, it may interfere with the present application.

In accordance with 37 CFR § 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56.

In accordance with 37 CFR § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 exists.

Any additional fees required for the proper filing of this Supplemental Information Disclosure Statement should be withdrawn from the Jones, Day, Reavis & Pogue Deposit Account No.50-1432, reference 265036-600070.

Respectfully submitted,

Barbara E. Arndt, Ph.D.

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